

1 **Neural mechanisms of mindfulness-based stress reduction in asthma**

2 Short title: Mindfulness-related brain changes in asthma

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20 **Abstract**

21 Mindfulness-based stress reduction (MBSR) can improve symptoms of chronic inflammation; in
22 asthma, improving asthma control and reducing airway inflammation. Understanding the neural
23 mechanisms underlying these salubrious outcomes could help identify neuroimmune phenotypes
24 and personalize interventions. Adults with asthma were randomized to 8 weeks of MBSR (n=38)
25 or a wait-list group (n=34). Clinically relevant asthma-related and psychological outcomes were
26 measured, and task-based fMRI data were acquired during exposure to emotional cues at
27 baseline, post-intervention, and 6mo follow-up. Whole-brain group x time interactions and
28 voxelwise regressions were used to evaluate changes in neural responses to emotion cues from
29 baseline and their relationship to psychological and biological outcomes. Post-intervention,
30 MBSR participants showed decreased lateral prefrontal/orbitofrontal cortex responses to aversive
31 cues relative to controls, which was associated with increased mindfulness. Across participants,
32 decreased salience network reactivity at post-intervention was associated with reduced
33 psychological distress and airway inflammation. At 6 months, some relationships persisted while
34 others did not. Results suggest that mindfulness training reduced effortful regulation of cognitive
35 and affective responses to emotional cues, instead promoting more efficient processing strategies
36 and reduced affective reactivity. Our findings clarify neural mechanisms underlying MBSR's
37 clinical benefits for asthma, underscoring mind-brain-immune relationships as a critical target for
38 asthma treatment.

39 **Keywords:** asthma, mindfulness, neuroimaging

40 **Introduction**

41 The mind and the neural processes that subserve it are underutilized targets for
42 intervention in asthma and other chronic inflammatory diseases in which psychological factors
43 substantively contribute to disease expression and treatment responsiveness [1,2]. Asthma affects
44 approximately 8% of the U.S. population [3], and is characterized by airway inflammation,
45 hyperresponsiveness, and bronchoconstriction [4]. Stress and emotion impact asthma at many
46 levels, leading to poorer asthma control, risk for exacerbations, and increased need for
47 emergency treatment [5]. Furthermore, the prevalence of depression and anxiety in asthma is
48 nearly double that of the general population [6] and comorbid psychopathology is associated
49 with increased asthma burden and worse outcomes [1,7].

50 Since cognitive and emotional states are products of the brain, mind-body interactions in
51 asthma implicate a critical role of the brain in asthma outcomes. Psychological stress worsens
52 asthma, in part by promoting a proinflammatory milieu in the airways via descending neural
53 signaling [2,8–10]. However, central nervous system contributions to asthma pathophysiology
54 remain underexplored. Moreover, despite the availability of multiple pharmaceutical treatment
55 classes, asthma remains uncontrolled in 30-50% of patients, imposing significant economic,
56 healthcare, and quality of life burden [11]. This reality, combined with evidence of psychological
57 contributions to asthma, has prompted the inclusion of psychological factors in clinical asthma
58 management guidelines [12]. Understanding the mechanisms through which psychological
59 processes contribute to asthma would inform novel intervention development and increase
60 treatment precision.

61 Mindfulness-based interventions have the potential to improve clinical care in asthma.
62 Mindfulness-based stress reduction (MBSR), the predominant mindfulness intervention in

63 secular Western settings, consists of sustained focused attention, cultivating nonjudgmental
64 awareness, and non-reactivity [13]. In asthma, MBSR improves disease control [14] and asthma-
65 related quality of life [15]. Though several studies have investigated the efficacy of MBSR in
66 ameliorating disease-related outcomes, and others have examined the neural changes associated
67 with MBSR training, studies examining the neural underpinnings of improvement in disease-
68 related outcomes are limited to pain (e.g., [16]) and psychological symptoms (e.g., [17]). A
69 greater understanding of the neural basis of improved asthma symptoms following MBSR
70 training would contribute not only to intervention optimization, but also to our understanding of
71 the biological pathways through which mental content shapes peripheral biology.

72 Neural networks involved in processing salient information and regulating emotion
73 overlap with those implicated in immune modulation, including in asthma (e.g., [9-10,18-21]) .
74 In particular, the salience network—comprising the lateral prefrontal cortex (PFC), amygdala,
75 anterior cingulate cortex (ACC), and insula—participates in processing, responding to, and
76 modulating emotion [22,23]. Meta-analytic studies have implicated these same regions in
77 responding to and regulating peripheral inflammation [24,25]. In rodent models of asthma,
78 inflammation modulates similar circuits, which impact anxiety-like behaviors [26–28]. In
79 humans with asthma, ACC and insula responses to emotionally-evocative stimuli predict
80 subsequent airway inflammatory responses to allergen challenge and increased airways
81 obstruction [18-20]. This neurocircuitry overlap suggests that how the brain monitors, interprets,
82 and responds to salient internal and external cues may interact with immune regulation.

83 Importantly, meditation-based interventions such as MBSR have been shown to impact
84 function and structure in neural circuits implicated in the regulation of inflammation. Notably,
85 the dorsolateral PFC, ACC, insula, and amygdala consistently show alterations following

86 meditation training [29–31]. These neural changes are thought to reflect emotional, cognitive,
87 and behavioral shifts related to mindfulness training, including altered sensory and emotional
88 awareness, reactivity, and regulation [30,32]. Thus, MBSR-related neural changes may benefit
89 mind-body relationships in asthma.

90 To better understand the neural shifts following MBSR training that foster improvements
91 in asthma outcomes, we used task-based functional magnetic resonance imaging (fMRI) to
92 measure changes in neural responsivity following participation in an 8-week MBSR intervention,
93 relative to a wait-list control group, in adults with asthma. During fMRI acquisition, participants
94 responded to an asthma-specific variant of the Stroop Task [33]. We hypothesized that MBSR
95 would alter neural responses in the insula, ACC, lateral PFC, and amygdala, and that these
96 changes would be correlated with improvements in disease-related outcomes.

97

98 **Results**

99 **Effects of MBSR on self-report and neural reactivity**

100 Self-report and inflammatory outcomes following the 8-week MBSR intervention were
101 reported previously [14]. Briefly, the MBSR group showed greater increases in self-reported
102 mindfulness, greater improvements in asthma control, greater decreases in airway inflammation,
103 and greater decreases in psychological symptom-related distress, relative to the control group.
104 Neither performance nor accuracy on the Asthma Stroop task differed over time between MBSR
105 and wait-list groups for any word category (asthma, negative, neutral).

106 To investigate neural correlates of these changes, we examined fMRI BOLD responses to
107 emotionally salient cues using an Asthma Stroop task. Because effects were largely consistent,
108 we report only results from the contrast of emotion words (averaged across asthma-related and
109 negative categories) relative to valence-neutral words in the main text. See Supplementary
110 Materials for asthma relative to neutral word contrast results. Participants who received MBSR
111 training showed decreased activation in right ventrolateral prefrontal cortex (vlPFC)/lateral
112 orbitofrontal cortex (OFC) and left medial temporal gyrus (MTG) in response to emotion relative
113 to neutral words from baseline (T1) to immediately post-intervention (T2), compared to wait-list
114 controls (Fig 1). We found no significant changes in activation from baseline to 6mo follow-up
115 (T3) that differed by group. This suggests that MBSR decreased attention- and emotion-related
116 neural reactivity immediately following the intervention, though the effects were not enduring.

117

118 **Voxelwise neural activity regressions with self-report and peripheral measures**

119 **Mindfulness**

120 We next assessed the relationship between changes in self-reported mindfulness (Five
121 Facet Mindfulness Questionnaire; FFMQ) and neural reactivity. An interaction between
122 mindfulness score and group on BOLD response covered a large segment of the cortex,
123 including regions of the left dorsolateral prefrontal cortex (dlPFC), bilateral insulae, left anterior
124 cingulate cortex (ACC), bilateral precentral gyri, and right precuneus and posterior cingulate
125 cortex (PCC; Fig 2). Post-hoc analyses showed that this was driven by a significant association
126 between increased mindfulness and decreased BOLD response in the MBSR group over time,
127 whereas this relationship was absent in the wait-list group. Additional clusters in the dlPFC and
128 right insula, that were discontinuous with this large multi-region cluster, also showed significant
129 group x time interactions (Fig 2), with an analogous pattern to that described above.

130 There were no additional between-group differences in relationships between change in
131 mindfulness scores and BOLD response within *a priori* small-volume-corrected regions of
132 interest (ROI). However, across groups, an increase in mindfulness score from baseline to post-
133 intervention was associated with decreased dACC activation, in response to emotion vs neutral
134 words which persisted at 6mo (Fig 3).

135 To clarify effects that are dependent on persistent engagement with the intervention, we
136 assessed whether self-reported mindfulness practice predicted changes in neural reactivity.
137 Although there were no significant relationships between self-reported practice time and change
138 in neural response overall, small-volume-corrected analyses showed that greater time spent in
139 formal practice was associated with decreased left central amygdala activation to emotion versus
140 neutral words from baseline to 6mo follow-up, suggesting reduced emotional reactivity for those
141 who practiced more. However, this cluster was very small (see Supplementary Table S4),

142 potentially due to insufficient power since only ~50% of participants continued to practice post-
143 intervention.

144

145 **Distress**

146 As reported previously, MBSR participants experienced greater decreases in
147 psychological symptom intensity (SCL90 Positive Symptom Distress Index; PSDI) over time
148 [14]. Moreover, greater decreases in psychological symptoms (GSI) were associated with greater
149 intervention-related improvements in asthma control (ACQ-6), relative to wait-list controls [14].
150 In the brain, a decrease in psychological symptoms was associated with widespread decreases in
151 activation to emotion words, from baseline to post-intervention across groups (Fig 4).

152 Specifically, a decrease in symptoms was associated with decreased right insula-frontal opercular
153 cortex (IFOC), PCC, postcentral gyrus, lateral occipital cortex, ACC, left vIPFC, precuneus,
154 right caudate, and bilateral precentral and medial temporal gyri activation. There were no
155 interactions between group and change in symptoms, and no significant associations between
156 change in BOLD responses to emotion words and change in symptom intensity.

157 In contrast to our predictions, at 6mo follow-up, a decrease in depressive symptoms was
158 associated with an increased bilateral ventral PFC response to emotion compared to neutral
159 words, relative to baseline. However, this cluster was largely nonoverlapping with the cluster
160 showing an association between decreased psychological symptoms and decreased neural
161 response from baseline to post-intervention, described above.

162 In analyses restricted to small-volume-corrected regions of interest, a decrease in
163 psychological symptoms was differentially associated with changes in dACC response to
164 emotion relative to neutral words from baseline to 6mo follow-up in the MBSR group relative to

165 controls (Fig 5). This was driven by a significant association between decreased psychological
166 symptoms and increased dACC activation in the MBSR group, a relationship that was opposite
167 in controls. No significant associations with psychological distress across groups were observed
168 in any small-volume-corrected analyses. Overall, improvements in distress following the
169 mindfulness intervention were associated with reduced cognitive-affective and salience network
170 neural reactivity.

171

172 **Asthma outcomes**

173 MBSR-related improvements in asthma control (Asthma Control Questionnaire 6-item
174 version; ACQ-6) were significantly associated with increased dACC activation to emotion vs
175 neutral words from baseline to 6mo follow-up, whereas no association was observed in controls
176 (Fig 6). Similarly, reductions in asthma severity (Composite Asthma Severity Index; CASI) were
177 associated with increased right IFOC responses to emotion vs neutral words from baseline to
178 6mo follow-up, in MBSR relative to control participants. We found no significant relationships
179 between neural responses to emotion words and asthma-related outcomes in analyses collapsing
180 across groups.

181 Asthma-related inflammation was indexed by the number of eosinophils (EOS) in blood,
182 the percentage of EOS in sputum, and fraction of exhaled nitric oxide (FeNO), all biomarkers of
183 the TH2 immune response that characterizes allergic diseases and the predominant asthma
184 endotype. In small-volume-corrected analyses, decreases in blood EOS from baseline to post-
185 intervention were associated with decreased right IFOC activation to emotion words across
186 groups (Fig 7a). Similarly, decreases in sputum EOS were associated with decreased left dorsal
187 anterior insula response from baseline to post-intervention (Fig 7c), suggesting that reductions in

188 salience network responsivity predict improved inflammatory outcomes. Contrary to hypotheses,
189 decreased FeNO was associated with increased amygdala activity from baseline to post-
190 intervention.

191

192

193 **Discussion**

194 Understanding the role of the mind in asthma is essential to optimal disease control,
195 given its impact on symptoms and treatment response. This study is the first to examine how
196 mindfulness training impacts neural responses to emotional information and how these effects
197 relate to disease-relevant outcomes in adults with asthma. MBSR training was associated with
198 decreased frontolateral and medial temporal neural reactivity to emotional cues, which scaled
199 with increases in mindfulness. Participants with the largest decreases in neural reactivity in these
200 regions, among others, also experienced the greatest reductions in psychological distress and
201 inflammation, which may reflect a shift toward less effortful, more efficient regulatory responses
202 to emotional information. At the 6-month follow-up, some of these patterns persisted, while
203 others were consistent with a return to more effortful regulation. Our results advance our
204 understanding of mind-body interactions in asthma and shed light on the neural mechanisms
205 associated with previously reported clinical benefits of MBSR for this population [14]. The
206 relevance of these findings is high, as they translate to improved disease control and fill an
207 unmet need in asthma management based on a neurobehavioral treatable trait approach.

208 **MBSR may boost regulatory efficiency**

209 MBSR-related decreases in prefrontal responses to emotional cues suggest that
210 participants resolved the Stroop conflict using fewer neural resources, perhaps reflecting reduced
211 reliance on effortful cognitive control and increased efficiency in cognitive-affective
212 regulation—both supporting decreased emotional reactivity. Cognition and emotion are mutually
213 influential and subserved by overlapping neural circuits [34–37]. For instance, heightened
214 emotional reactivity can bias attention toward threat, and executive function processes are
215 invoked to modulate affective responses [35,36]. Thus, *cognitive-affective regulation* refers to

216 the cognitive processes engaged while experiencing and responding to emotional information.
217 Through initially effortful engagement, this form of regulation can become automatic with
218 practice [38].

219 Consistent with this possibility, widespread decreases in frontal and parietal responsivity
220 were associated with increased self-reported mindfulness in MBSR participants relative to
221 controls, as well as with decreased distress for all participants at post-intervention. Cultivating
222 core mindfulness skills such as non-reactivity, equanimity, and acceptance [13]—i.e., “non-
223 appraisal”—is consistent with a reduced need to effortfully resolve emotion-related interference
224 with cognitive processing. In contrast, other behavioral interventions emphasize effortful top-
225 down cognitive control strategies like reappraisal [39,40]. While both skill sets can improve
226 outcomes, non-appraisal strategies require fewer cognitive, neural, and autonomic resources [40],
227 contributing to increased efficiency [41] and a shift in participants’ relationship to their
228 experiences [39].

229 Mindfulness training could also lead to changes in neural reactivity consistent with
230 increased regulatory efficiency by reducing attentional bias from salient distractors [32,42]. In
231 asthma, disease-relevant cues capture attention and cause cognitive interference [43], reflected in
232 increased BOLD responses in cognitive control and affective neural circuits, including
233 lateral/medial PFC and dACC, during asthma Stroop tasks [19,20]. Here, the reduced ACC and
234 IFOC reactivity associated with increased mindfulness, alongside MBSR-related decreases in
235 prefrontal activation, aligns with evidence that mindfulness training may increase processing
236 efficiency by altering attention allocation, reducing the extent to which salient distractors capture
237 attention and engage emotion [39,42]. Less attention capture may also facilitate less reliance on
238 effortful regulatory processing of aversive distractors. Though speculative, the absence of

239 changes in Stroop performance despite decreased neural engagement is consistent with greater
240 regulatory efficiency. However, our study was not powered to detect behavioral Stroop effects
241 (i.e. valence-related reaction time differences) so neural-behavioral dissociation should be
242 interpreted with appropriate caution.

243 Though our experimental paradigm cannot disentangle neural activity involved in
244 regulation from that involved in reactivity, it is plausible that increases in non-appraisal and
245 reduced attentional bias following MBSR training contribute to decreased emotional reactivity to
246 affective stimuli. The lateral PFC/OFC, together with its roles in both cognitive *control* and
247 emotion *regulation*, is involved in *processing* aversive stimuli [44]. MBSR training has been
248 associated with widespread decreases in cortical and prefrontal activation during emotional
249 Stroop tasks [45,46] and in association with reduced physical and psychological symptoms
250 [16,47,48]. Thus, in the context of emotional conflict tasks, decreased prefrontal activation
251 following MBSR may also reflect reduced emotional reactivity (e.g., [65,69]). The interplay
252 between non-appraisal, reduced attentional bias, and emotional reactivity likely converges to
253 give rise to the observed mindfulness-related improvements in distress [14].

254 **Mind-brain-immune interactions**

255 As core components of the salience network, the ACC and IFOC integrate cognition,
256 emotion, and immune function. Functionally, the ACC couples affective evaluations with
257 cognitive control to coordinate physiological and behavioral adjustments in response to internal
258 or external sensory feedback [36,49]. Similarly, the IFOC directs attention toward salient
259 emotional and homeostatic cues to adaptively guide behavior [50–52]. Like prefrontal regions
260 discussed earlier, the ACC and IFOC contribute to emotion-related cognition and have shown
261 decreased reactivity to emotional [53,54] and physiological [55] stimuli with mindfulness

262 training. Here, reduced ACC and IFOC reactivity was associated with increased mindfulness in
263 the MBSR group. Further, decreases in distress and inflammation, regardless of the reason for
264 these improvements, were associated with reductions in ACC and IFOC reactivity in both
265 groups, but those in the MBSR group showed larger decreases in distress and inflammation (see
266 [14]). Notably, asthma medication use did not differ over time or by group, suggesting that the
267 observed reductions in inflammation were not driven by changes in medication adherence (see
268 Supplement for details). IFOC reactivity also showed a modest decrease from pre- to post-
269 training in MBSR participants, relative to wait-list controls (uncorrected $Z > 3.1$). The association
270 between decreased IFOC reactivity and decreased inflammation may implicate the IFOC in
271 connecting emotion regulatory processes with peripheral immune function in asthma. This
272 interpretation aligns with prior studies showing that IFOC and ACC reactivity, following
273 psychosocial stress [8,9] or in response to emotion stimuli [19], correlates with increases in
274 airway inflammation and asthma symptoms [20].

275 **Proposed Mechanisms**

276 Mechanistically, MBSR may impact inflammatory responses through autonomic and
277 endocrine pathways that are modulated by the ACC and IFOC. The IFOC and ACC coordinate
278 communications among the central nervous system, autonomic nervous system (ANS),
279 hypothalamic-pituitary-adrenal (HPA) axis, and immune system [50,56]. Mindfulness training
280 enhances one's capacity to manage stress through skills like non-appraisal, which can lead to
281 reduced negative emotional reactivity and alter one's perception of stimuli as stressful. These
282 psychological changes may be reflected in the decreased ACC and IFOC reactivity we observed.
283 In turn, mindfulness-related alterations in ACC and IFOC activity may be associated with
284 reduced ANS and HPA-axis mobilization and downstream proinflammatory activity. In asthma,

285 where dysregulated ANS and HPA responses can exacerbate inflammation, reduced IFOC
286 reactivity may be one pathway through which mindfulness relates to improved immune
287 regulation (for review, see [81]). However, since ANS or HPA responses were not directly
288 assessed here, this remains speculative.

289 It is important to note that reduced IFOC activity may *follow*, rather than *precede* reduced
290 peripheral inflammation in the biological change of events. In other words,, reduced airway
291 inflammation may *contribute to* a decrease in IFOC reactivity. In addition to efferent regulation,
292 the IFOC responds to afferent signals, integrating bottom-up bodily information with top-down
293 processes. Given the IFOC's involvement in guiding behavior based on predictive models [58],
294 decreased reactivity may reflect a shift toward monitoring and responding to physiological
295 symptoms with less elaboration from contextual emotional or cognitive cues. Since brain-
296 immune communication is bidirectional, it is not possible to resolve the direction of causality
297 with this study design. Nonetheless, the observed IFOC and ACC changes likely reflect a
298 combination of altered reactivity, regulation, and their integration.

299 Together, the observed decreased PFC, IFOC, and ACC activity, correlated with
300 improved symptoms, support the hypotheses that 1) emotion and inflammation modulation
301 involves shared circuitry, and 2) an intervention shown to benefit regulation of both emotion and
302 inflammation impacts this shared circuitry. These patterns elucidate mechanisms (e.g., reduced
303 prefrontal reactivity) through which mindfulness training may reinforce adaptive attention to,
304 evaluation of, and response to salient disease-relevant cues in asthma. Still, these interpretations
305 should be taken with appropriate caution, given the correlational nature of the analyses.

306 **Maintenance of neural response patterns**

307 Our findings suggest that decreased engagement of emotion-relevant neural regions
308 immediately post-intervention (T2) supports psychological and immune benefits in asthma,
309 possibly through more efficient and less effortful processing of affective information. Most of
310 these effects persisted at 6-month follow-up (T3). However, other observations are consistent
311 with a shift from non-appraisal-like attention at T2 to explicit regulation, such as active
312 attentional-shifting, at T3. In whole-brain analyses, *increased* engagement of cognitive control
313 circuitry was associated with improvements in psychological symptoms, asthma control, and
314 asthma severity from baseline to T3. This may reflect a transition in how participants maintained
315 the previously-reported clinical benefits of MBSR [14]. For example, participants may invoke
316 skills like non-judgmental awareness less readily in the months after the intervention, instead
317 using effortful regulation strategies. Accordingly, while nearly all participants reported
318 practicing mindfulness during the intervention period (T1-T2), almost half reported no practice
319 in the period after the intervention (T2-T3), with no significant relationships between practice
320 and brain changes.

321 Some evidence suggests that mechanisms linking cognitive processes with emotion
322 responses change with mindfulness practice. For instance, both novice meditators after intensive
323 meditation retreats and experienced practitioners [38,39] have shown reduced emotional
324 reactivity without recruiting prefrontal regulatory regions, proposed to reflect an automatic non-
325 judgmental attentional stance that reduces the coupling between sensory experiences and their
326 affective interpretation [30,59]. Consistent with this proposed mechanism of change, participants
327 in our study appeared to recruit fewer cognitive resources immediately after the intervention,
328 when practice was frequent, but appeared to invoke more resources over time as regular practice
329 decreased. Nonetheless, a few clusters (in ROI-focused analyses only) did show this pattern of

330 increased engagement associated with improved mindfulness and distress at both T2 and T3.
331 Crucially, neural signatures thought to indicate increased emotion regulation—whether automatic
332 or effortful—were associated with beneficial outcomes at both post-intervention time points. Prior
333 work has similarly shown that MBSR-related benefits for mental distress persist at four years
334 post-intervention even when formal practice declines [60]. These changes were less consistent
335 and robust in our data, warranting cautious interpretation.

336 **Limitations**

337 A few important limitations of our study should be acknowledged. First, the modest
338 sample size likely limited statistical power to detect small effects. Though the final sample size
339 ($n = 72$) was near the target sample size ($n = 80$) expected to provide high power to detect large
340 effects, power to detect smaller effects is questionable, and replication is necessary. Our sample
341 also lacked racial and ethnic diversity, which is important given the disproportionate burden of
342 asthma in historically marginalized communities [3]. Additionally, the absence of an active
343 control group limits the attribution of changes specifically to mindfulness training, since some
344 effects may have been driven by nonspecific intervention factors, such as expectancy effects or
345 supportive group and instructor interactions. Though this design was selected for reasons of
346 feasibility, future studies that include an active control group would allow disambiguation of
347 intervention-specific effects and mechanisms from common factors.

348 Our ability to detect intervention effects was also likely hindered by variability in
349 inflammatory measures due to the several-month span of study participation. For example,
350 allergen burden and viral infections, both drivers of asthma symptoms and airway inflammation,
351 fluctuate substantially across seasons. Since data collection spanned seasons, this variability was
352 present both within and between participants. Subsequent studies could reduce these sources of

353 noise by adjusting for environmental allergen burden fluctuations or enrolling asthma patients
354 sensitive only to seasonally consistent triggers. Despite this variability, our design reflects a real-
355 world implementation of MBSR, enhancing ecological validity.

356 **Conclusion**

357 Mindfulness training altered neural responses to aversive cues, which correlated with
358 improved outcomes in adults with asthma. Changes in neural reactivity in overlapping networks
359 were associated with both psychological and inflammatory improvements, suggesting that how
360 the brain processes emotion may have real-world implications for the regulation of inflammation
361 and disease control in asthma.

362 **Clinical Implications**

363 In clinical populations like asthma, these patterns may reflect reduced worry about,
364 anticipation of, identification with, or elaboration of symptoms (e.g., catastrophizing) alongside
365 more accurate perception, evaluation, and response to triggers and symptoms. By fostering
366 present-moment awareness, mindfulness supports more effective disease management. Our
367 results underscore the importance of targeting psychological factors in comprehensive asthma
368 care, for example, implementing mindfulness-based interventions in tandem with
369 pharmacological treatment. Understanding the neural mechanisms underlying these relationships
370 can help tailor interventions to different patient profiles, such as those characterized by
371 psychological comorbidities, cognitive styles, or inflammatory phenotypes (e.g. corticosteroid-
372 resistant). This will ultimately guide future research and treatments to more precisely address
373 both emotional and physiological aspects of asthma to reduce disease burden, morbidity, and
374 mortality.

375

376 **Materials & Methods**

377 **Experimental design**

378 Seventy-three adults with asthma aged 18-65 years ($M=38.1$, 43 female), recruited from
379 Madison, WI and surrounding areas, were enrolled (for more information, see clinicaltrials.gov
380 NCT02157766; posted 06-06-2014). All participants had an asthma diagnosis for ≥ 6 months
381 with evidence of elevated Type 2 inflammation at enrollment, based on at least one of the
382 following criteria: fraction of exhaled nitric oxide (FeNO) ≥ 30 ppb, blood eosinophil count \geq
383 150 cells/ μ L, or percent sputum eosinophils $\geq 2\%$ of total leukocytes [61,62]. FeNO of 30 ppb is
384 a mid-point between the threshold for Type 2 inflammation (FeNO ≥ 20 ppb) and high FeNO (\geq
385 50 ppb) [62,63]. Exclusion criteria included taking >1000 mcg Fluticasone or the equivalent,
386 incompatibility with the magnetic resonance imaging (MRI) environment, and previous MBSR
387 participation or current meditation/mind-body practice. Further exclusion criteria included
388 current smoker status or a smoking history exceeding five pack-years within the last 10 years,
389 pregnancy, history of neurological disorder, current bipolar or psychotic disorder, and traumatic
390 brain injury. Psychotropic medications were allowed, provided the dose was stable for ≥ 6
391 months. All participants provided written informed consent and were compensated monetarily.
392 All procedures contributing to this work comply with the ethical standards of the relevant
393 national and institutional committees on human experimentation and with the Helsinki
394 Declaration of 1975, as revised in 2008. The University of Wisconsin-Madison's Health
395 Sciences Institutional Review Board approved the protocol.

396 Participants completed a baseline visit (T1) before randomization to MBSR ($n = 38$) or
397 wait-list ($n = 34$) groups. Participants completed a second visit immediately following the
398 intervention (T2; $n = 67$). The average duration between the end of the intervention and the T2

399 visit was 19.25 days (range:18-72). A final visit took place approximately 4 months post-
400 intervention (T3; n = 64). Two participants were excluded from analyses due to insufficient (< 2)
401 MBSR class attendance. See Supplementary Fig S1A for participant discontinuation and
402 withdrawal details. At each visit, MRI data were acquired and biomarkers of asthma-relevant
403 inflammation, self-reported asthma control, asthma severity, and psychological symptoms were
404 collected (Supplementary Fig S1B). Participants randomized to the wait-list control group were
405 offered MBSR, at no cost, after study completion.

406 **Intervention**

407 The intervention consisted of a standard Mindfulness-Based Stress Reduction (MBSR)
408 intervention, developed by Jon Kabat-Zinn. MBSR involves focused attention on the breath,
409 bodily sensations, and mental content and takes place sitting, walking, and in yoga postures [64].
410 The intervention took place over eight weekly 2.5-hr sessions, one 6-hr intensive retreat, and
411 daily at-home practice. Two certified and experienced MBSR instructors led the intervention, in
412 classes also offered to and attended by community members. Participants recorded daily at-home
413 practice each week.

414

415 **Data acquisition**

416 **Self-report**

417 **Mindfulness.** The Five Facet Mindfulness Questionnaire (FFMQ) consists of 39 Likert-
418 scale questions that comprise five facets: Observing, Describing, Acting with Awareness, Non-
419 judging of Inner Experience, and Non-reactivity to Inner Experience [65]. Ratings are made on a
420 5-point scale and summed for a total score out of 195.

421 **Psychological Assessments.** The Symptom Checklist-90 Revised (SCL-90R), used to
422 assess psychological symptoms, contains 90 Likert-scale questions in nine symptom areas, each
423 rated on a scale of 0-4 [66]. We assessed two global indices derived from this instrument: the
424 Global Severity Index (GSI) reflects the number of symptoms and level of distress, and the
425 Positive Symptom Distress Index (PSDI) reflects the intensity of distress. To assess symptoms of
426 depression and anxiety, we used the Beck Depression Inventory [67] and Beck Anxiety
427 Inventory [68]. The BDI and BAI each comprise 21 psychometrically validated Likert-scale
428 questions measuring symptoms, each endorsed from 0-3 based on severity.

429

430 **Type 2 inflammation, asthma control & severity**

431 Type 2 inflammation, characterizing the most common asthma endophenotype, was
432 measured using fraction of exhaled nitric oxide (FeNO), measured in breath condensate
433 according to American Thoracic Society guidelines [42], and eosinophil (EOS) populations in
434 blood and sputum. Sputum collection and processing were performed as previously described
435 [14]. To quantify blood EOS, venous blood samples were collected into EDTA-coated tubes and
436 slides were prepared to determine cell differentials. Percent of sputum EOS and total blood EOS
437 count were used in analyses.

438 Asthma control refers to the successful management of symptoms and disease-related
439 impairments [62], whereas asthma severity is based on the treatment required to attain control,
440 current impairment, and future exacerbation risk [70]. We assessed asthma control using the
441 Asthma Control Questionnaire 6-item version (ACQ-6) [44] and asthma severity using the
442 Composite Asthma Severity Index (CASI) [43]. The ACQ-6 comprises six Likert-scale questions
443 assessing symptoms and medication use during the previous week [71]. Participants rate each

444 item on a 7-point scale, and item scores are averaged for a final score out of six. The CASI
445 includes four symptom-related and three medication-related items about the previous two weeks,
446 which are summed for a total score ranging from 0-20 [70].

447

448 **Neuroimaging**

449 **Image Acquisition.** Anatomical and functional MRI images were acquired on a GE
450 MR750 3.0 Tesla MRI scanner with a 32-channel head coil. Anatomical scans were high-
451 resolution 3D T1-weighted inversion recovery fast gradient echo images (450ms inversion time,
452 1 x 1mm in-plane resolution, 256x256 matrix size, 256 mm field of view, 192 x 1.0mm axial
453 slices). Two runs of functional task-based data were acquired using a gradient echo EPI sequence
454 (1.75 x 1.75mm in-plane resolution, 128x128 matrix size, 224mm field of view, TR/TE/Flip =
455 2000ms/20ms/75°, 44 x 3.5mm interleaved sagittal slices, and 196 volumes per run).

456 **Imaging Task.** During acquisition of fMRI data, participants performed the Asthma
457 Stroop Task, a modified version of the classic Stroop task [33], in which they responded to the
458 color of asthma-relevant (e.g., wheeze), negative (e.g., loneliness), or valence-neutral (e.g.,
459 curtain) words. Asthma-relevant words were associated with the experience of asthma, generated
460 by individuals with asthma. Negative and neutral words were selected from the ANEW dataset
461 [72]. Each set was matched on word length, usage frequency, and part of speech. In each run, 10
462 stimuli per category were presented for 2s in random order, with a pseudo-randomized
463 interstimulus interval of 4-8s. Reaction time and accuracy were recorded on an MRI-compatible
464 button box.

465 **Image Processing.** Functional images were processed using FMRI Expert Analysis Tool
466 (FEAT) Version 6.00 from the FMRIB Software Library (FSL) [46]. Preprocessing included

467 removal of the first four volumes, a high-pass temporal filter of 60s, FILM pre-whitening,
468 motion correction using MCFLIRT [74], BET brain extraction [75], and 5mm full-width-at-half-
469 maximum (FWHM) spatial smoothing. Transformations for image co-registration were
470 computed at the first level and applied during second-level analysis in a two-stage process.
471 Boundary-Based Registration [76] was used to register each participant's functional data to their
472 anatomical image, and a 12-degree-of-freedom affine transformation was used to register each
473 participant's anatomical scan to MNI space using linear (FLIRT) and nonlinear (FNIRT)
474 registration [74,77].

475 **Data Analysis.** Functional data were analyzed using a General Linear Model in three
476 levels [78]. Level-one analyses modeled stimulus presentation with a double-gamma
477 hemodynamic response function including stimulus valence, reaction time, and 6 directions of
478 motion with their derivatives. High-motion time points with a framewise displacement greater
479 than .5mm were censored [79], and individual scans were excluded from analysis if $\geq 25\%$ of
480 data points were censored. Resulting contrast maps including Asthma vs Neutral (As-Nu),
481 Asthma vs Negative (As-Ng), Negative vs Neutral (Ng-Nu), and averaged Asthma and Negative
482 vs Neutral (Emo-Nu) were used in second-level fixed effects models. To examine changes over
483 time, baseline (T1) statistical maps were subtracted from T2 or T3 statistical maps to generate
484 difference images (T2-T1 and T3-T1) for each participant. To assess group differences over
485 time, mixed effects analyses using FLAME 1 were performed across the whole brain and within
486 *a priori*-specified regions of interest (ROIs). A cluster-forming threshold of $Z > 3.1$ was applied
487 to resulting statistical maps, with a significance threshold of $p < .05$ [80]. Two participants were
488 excluded due to excessive motion, two were excluded for neurological abnormalities, and one
489 did not complete neuroimaging due to claustrophobia (T2-T1 N = 62; T3-T1 N = 59).

490 To assess the relationship between changes in peripheral measures and neural activity,
491 whole-brain and ROI regressions were performed. Inflammatory and self-report difference
492 scores (pre-training minus post-training) were regressed on difference images (post-training
493 minus pre-training) for each participant. Resulting statistical maps were corrected for multiple
494 comparisons using threshold-free cluster enhancement (TFCE) and familywise error correction
495 as implemented in FSL [81,82], and thresholded at $p < .05$. Regression analyses examined
496 interactions between group and regressor changes over time, as well as changes over time across
497 groups. Analyses of practice time aggregated total in-class and at-home practice minutes from
498 the beginning of the intervention through study completion.

499 ROIs included salience network regions: bilateral amygdalae, dorsal anterior cingulate
500 cortex (dACC), and the insula-frontal opercular cortex (IFOC). A bilateral amygdala mask was
501 defined anatomically based on the Harvard-Oxford Atlas [83] with a 50% probability threshold
502 (505 voxels). The dACC mask was defined by Shackman et al. [36] based on the Harvard-
503 Oxford Atlas (4355 voxels). A mask including the insula, central and frontal operculum, and
504 lateral orbitofrontal cortex with medial boundaries at the lateral-most insula coordinates was
505 created using the Harvard-Oxford Atlas with a 25% probability threshold (6719 voxels). This
506 IFOC ROI was defined based on co-activation of these regions in our previous work [8,19,20]
507 and cytoarchitectonic evidence of functional continuity in this region [52,84].

508 For voxelwise regressions, outliers were defined as regressor change scores >3 standard
509 deviations from the mean, whose inclusion skewed the distribution of change scores ($n = 7$ total).
510 Reported results were consistent with and without outliers unless otherwise noted. Post-hoc
511 analyses were performed on extracted clusters to characterize associations and create scatterplots
512 for visualization purposes only.

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- 709

710 **Data and materials availability:** All data needed to evaluate conclusions in the paper can be
711 found in the paper and/or Supplementary Materials. Data will be made available upon request.

712

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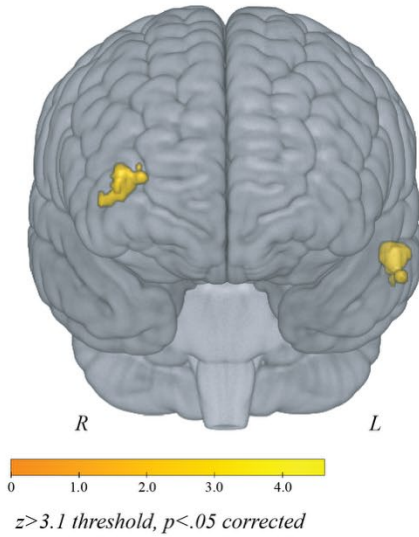
717

718 **Competing interests:** Dr. Richard J. Davidson is the founder, president, and serves on the board
719 of directors for the non-profit organization, Healthy Minds Innovations, Inc. No donors, either
720 anonymous or identified, have participated in the design, conduct, or reporting of research results
721 in this manuscript. All other authors have nothing to disclose.

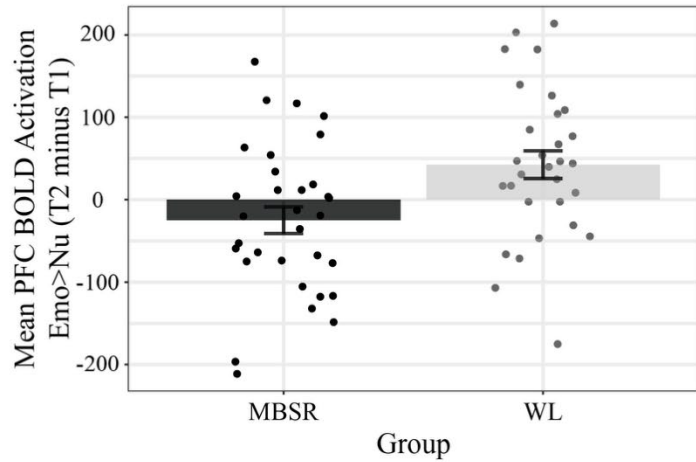
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723 **Figures**

724 **A**

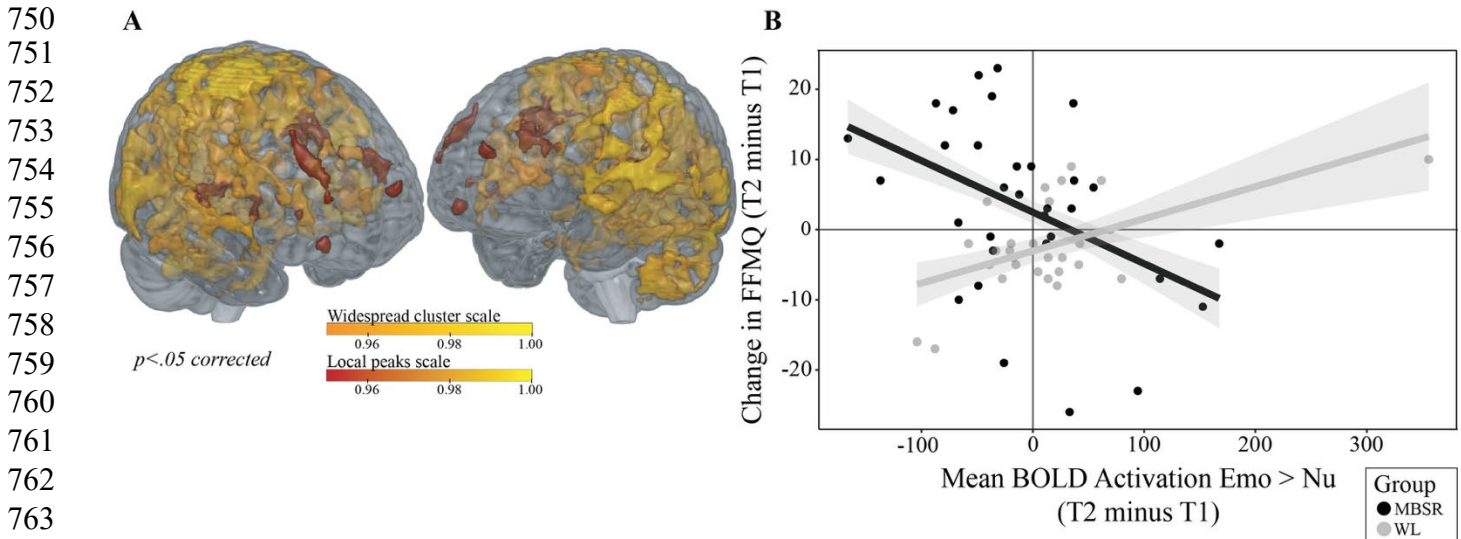


739 **B**



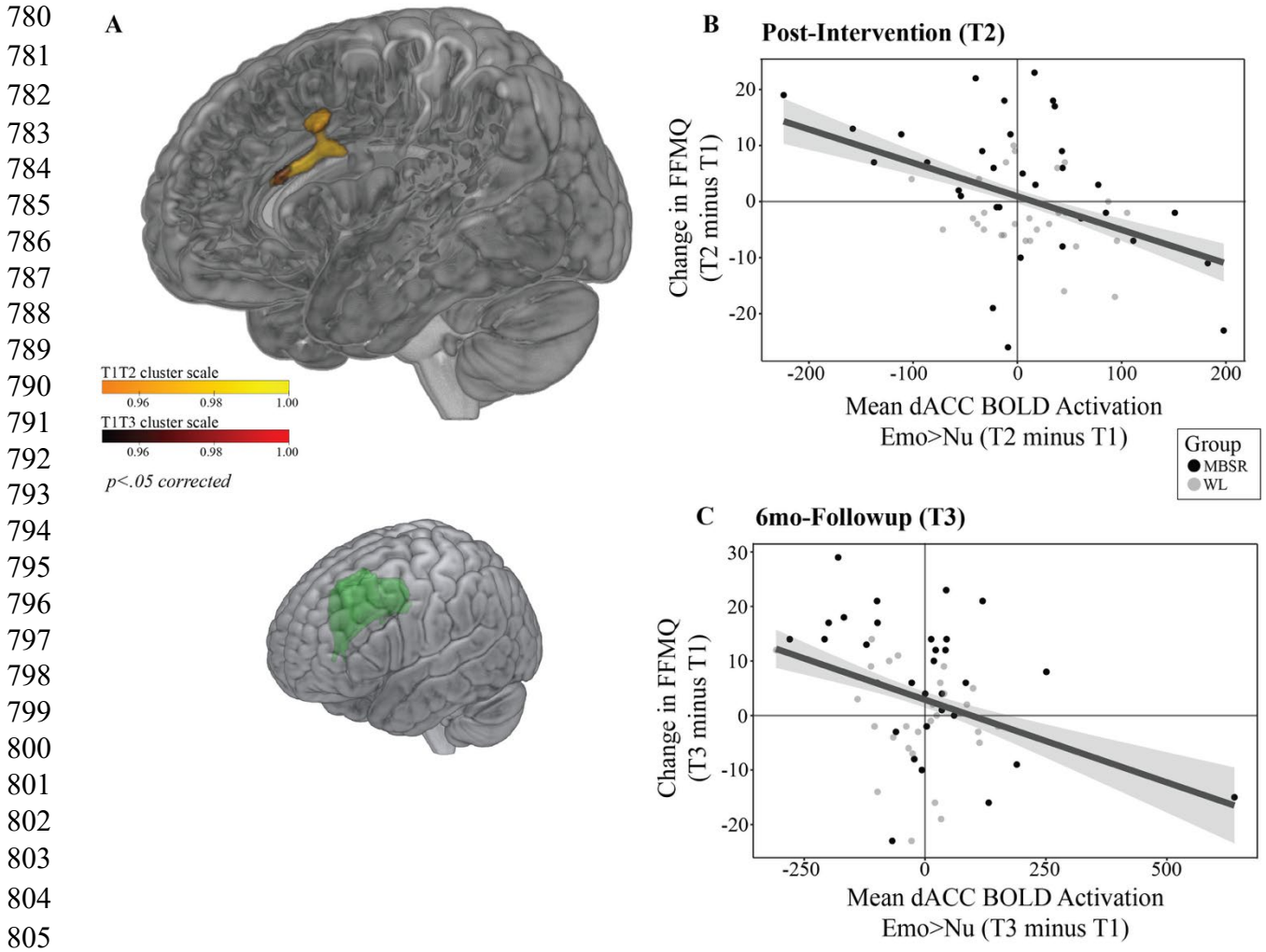
739 **Figure 1. MBSR training decreased right vIPFC/OFC and left MTG BOLD response to**
740 **emotionally-salient cues (Emo > Nu) from baseline (T1) to post-intervention (T2), relative**
741 **to wait-list controls. A.** Brain shows cluster of voxels where activation to emotion (vs neutral)
742 words decreased more in MBSR participants compared to controls, from T1 to T2 (right
743 vIPFC/OFC, left MTG). **B.** Bar plot shows average change in vIPFC/OFC activation (Emo > Nu)
744 from T1 to T2 for each participant, by group. Bar plot is for visualization only; inferential
745 statistics based on extracted cluster values would provide inflated effect sizes (Kriegeskorte et
746 al., 2009), vIPFC: ventrolateral prefrontal cortex. OFC: orbitofrontal cortex. MTG: medial
747 temporal gyrus. MBSR: mindfulness-based stress reduction

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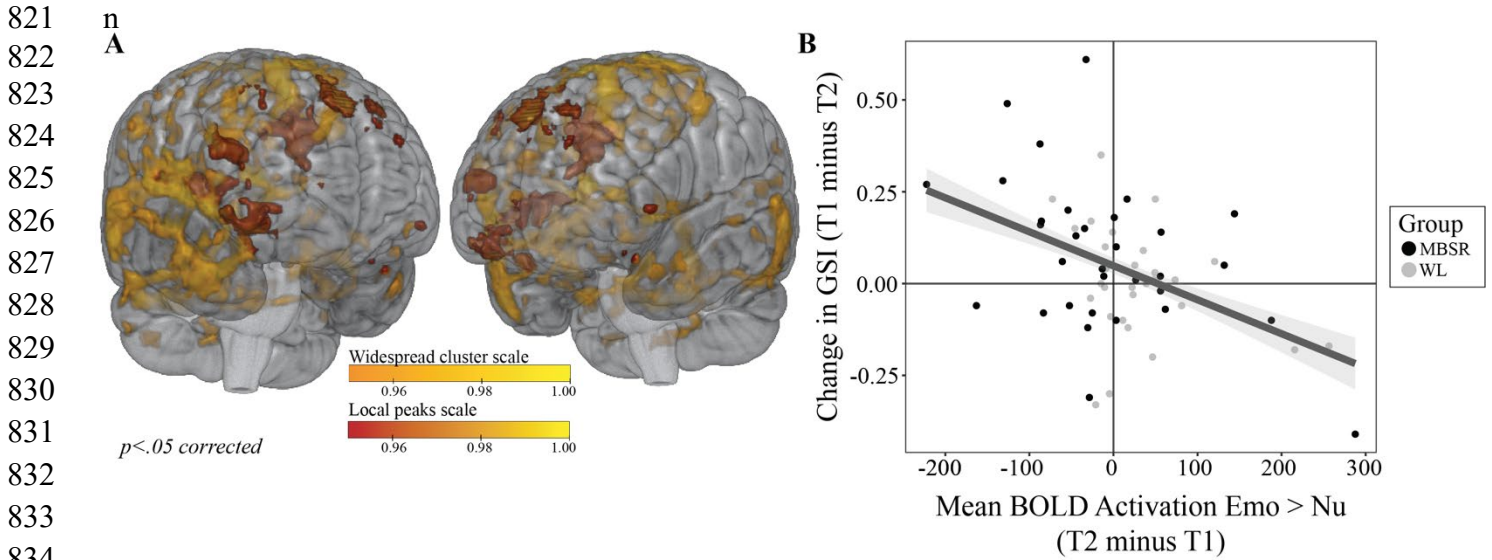
750 **Figure 2. MBSR-related increases in mindfulness (FFMQ) were associated with decreased**
 751 **BOLD response to emotionally-salient words in MBSR, relative to wait-list control,**
 752 **participants from baseline (T1) to post-intervention (T2).** A. Brain shows clusters of voxels
 753 where the change in BOLD response to emotionally-salient words (Emo > Nu) from T1 to T2
 754 was associated with increased FFMQ scores in the MBSR, relative to wait-list control, group
 755 (one outlier excluded). Yellow = widespread cluster including regions of left dlPFC, bilateral
 756 insula, left ACC, bilateral precentral gyrus, right precuneus/PCC. Red = local dACC, dlPFC, and
 757 left insula peaks. B. Scatterplot shows group x time interaction between average change in
 758 BOLD response (widespread cluster) and change in FFMQ scores. Results were unchanged with
 759 removal of extreme value. Scatterplot is for visualization only; inferential statistics based on
 760 extracted cluster values would provide inflated effect sizes (Kriegeskorte et al., 2009). FFMQ:
 761 five facet mindfulness questionnaire. dlPFC: dorsolateral prefrontal cortex. ACC: anterior
 762 cingulate cortex. PCC: posterior cingulate cortex.

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779



806 **Figure 3. Increases in mindfulness (FFMQ) were associated with decreased BOLD response**
 807 **to emotionally-salient words in dACC region of interest analyses across MBSR and WL**
 808 **groups from baseline (T1) to post-intervention (T2) and 6mo followup (T3). A. Brain shows**
 809 **cluster of voxels where the change in BOLD response to emotionally-salient words (Emo > Nu)**
 810 **from baseline to follow-up was associated with increased FFMQ scores in dACC region of**
 811 **interest analyses. Yellow = post-intervention (T1T2). Red = 6mo follow-up (T1T3). Green =**
 812 **dACC region of interest search space. B. Scatterplot shows relationship between average change**
 813 **in dACC BOLD response and change in FFMQ scores across groups from baseline to post-**
 814 **intervention (T1T2). C. Scatterplot shows relationship between average change in dACC BOLD**

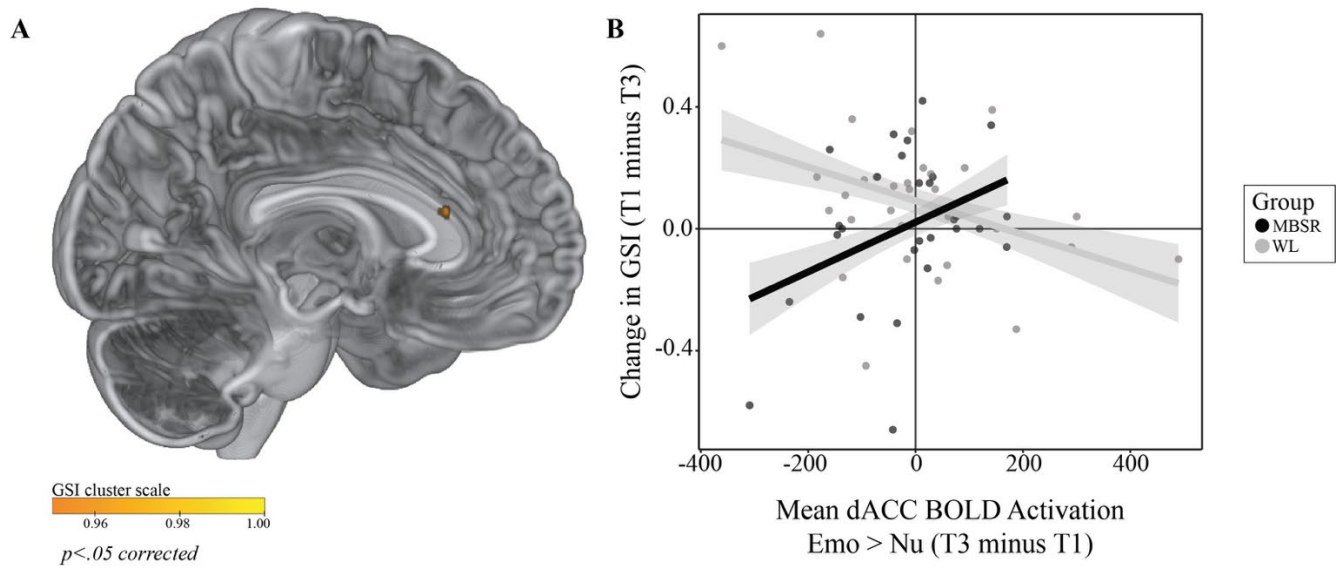
815 response and change in FFMQ scores across groups from baseline to 6mo followup (T1T3).
816 Results were unchanged with removal of extreme value. Scatterplots are for visualization only;
817 inferential statistics based on extracted cluster values would provide inflated effect sizes
818 (Kriegeskorte et al., 2009). FFMQ: five facet mindfulness questionnaire. dACC: dorsal anterior
819 cingulate cortex
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835 **Figure 4. Decreased distress (GSI) was associated with decreased BOLD response to**
836 **emotionally-salient words across MBSR and WL groups, from baseline (T1) to post-**
837 **intervention (T2) in whole-brain analyses. A.** Brain shows clusters of voxels where the change
838 in BOLD response to emotionally-salient words (Emo > Nu) from baseline to follow-up was
839 associated with decreased distress (GSI) at post-intervention (T2). Orange-yellow = widespread
840 cluster including right IFOC, ACC, left lateral PFC, precuneus, R caudate, bilateral precentral
841 and medial temporal gyri, PCC, postcentral gyrus, and lateral occipital cortex. Red = extracted
842 local peaks in IFOC, frontal pole, and dACC. **B.** Scatterplot shows relationship between average
843 change in widespread activation and change in GSI from baseline to post-intervention (T1T2).
844 Scatterplot is for visualization only; inferential statistics based on extracted cluster values would
845 provide inflated effect sizes (Kriegeskorte et al., 2009). GSI: global severity index. IFOC: insula-
846 frontal-opercular cortex. ACC: anterior cingulate cortex. PFC: prefrontal cortex. PCC: posterior
847 cingulate cortex

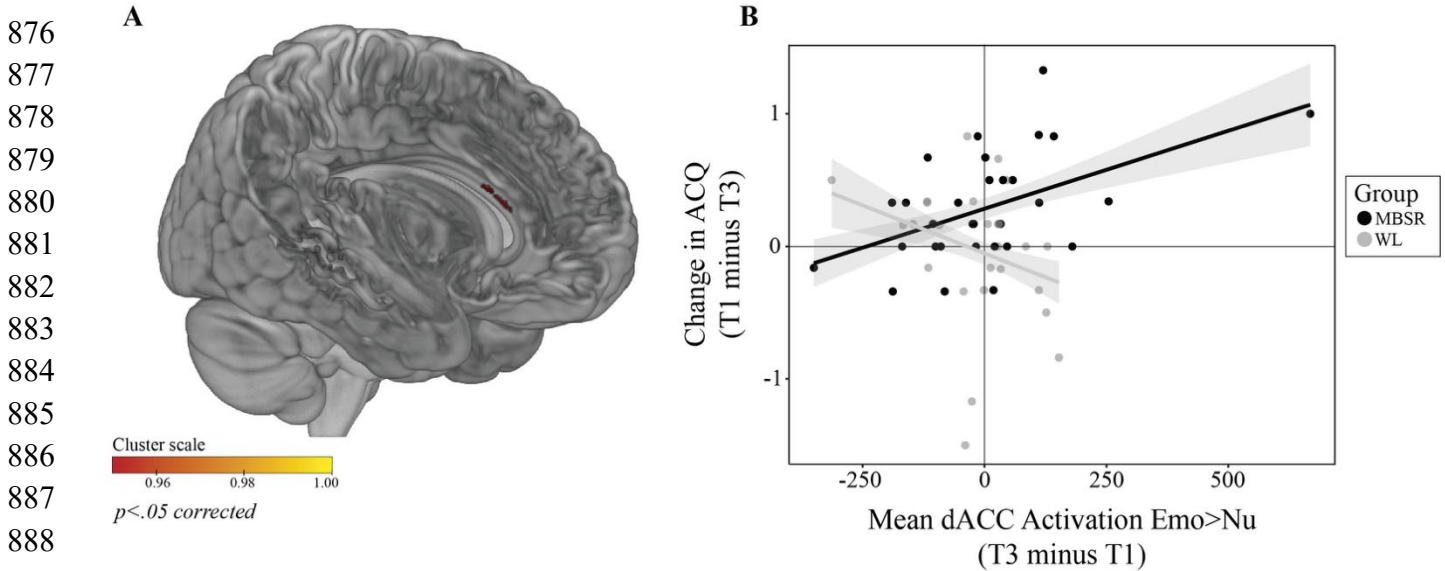
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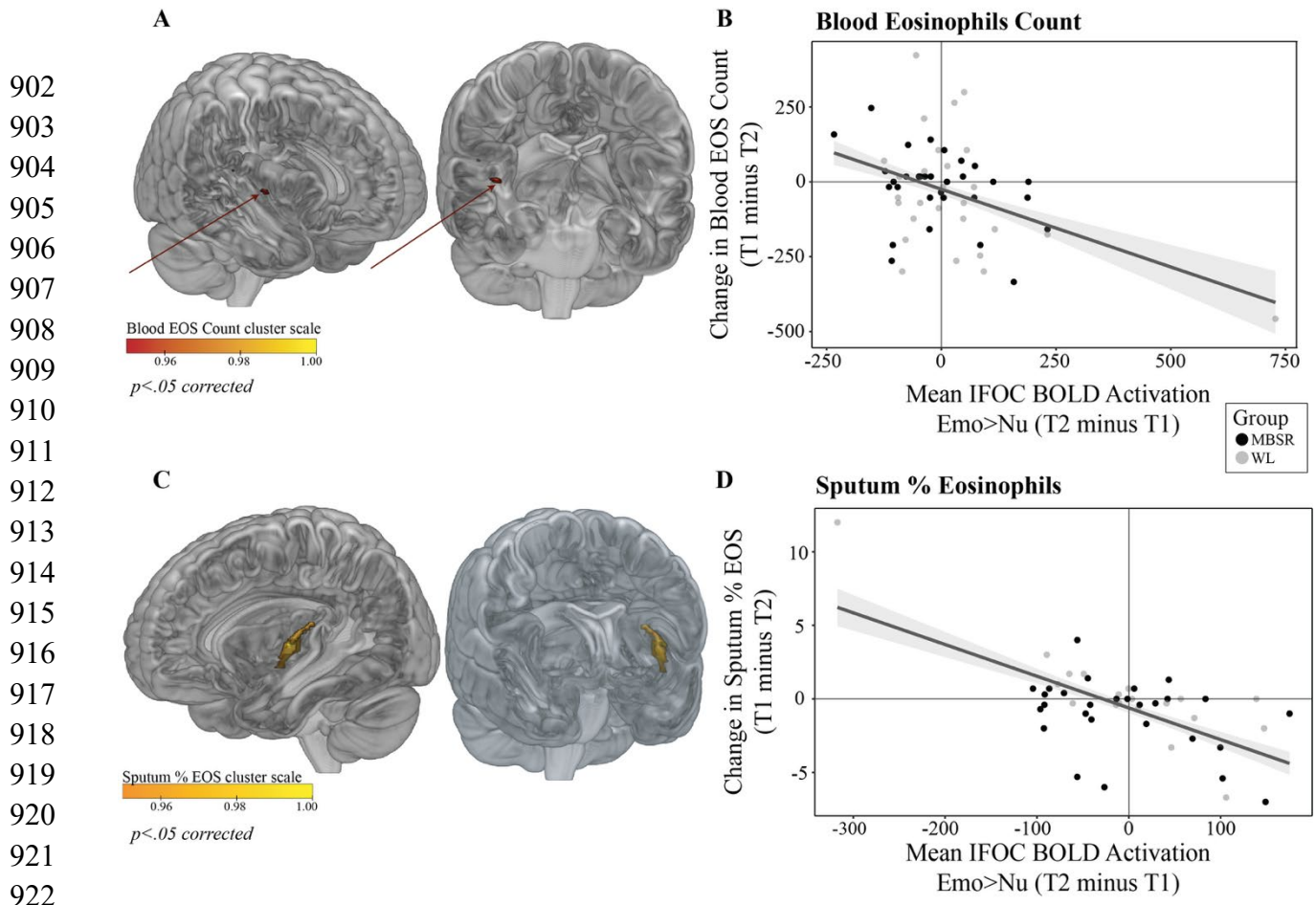
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Figure 5. Decreased distress (GSI) was associated with decreased BOLD response to emotionally-salient words from baseline (T1) to 6mo followup (T3) in dACC region of interest analyses, in MBSR relative to wait-list groups. A. Brain shows cluster of voxels where the change in dACC response to emotionally-salient words (Emo > Nu) from baseline to 6mo followup was associated with decreased global symptoms (GSI) at 6mo followup (T3). **B.** Scatterplot shows group x time interaction between average change in dACC activation and change in GSI from baseline to 6mo followup (T1T3). Scatterplot is for visualization only; inferential statistics based on extracted cluster values would provide inflated effect sizes (Kriegeskorte et al., 2009). dACC: dorsal anterior cingulate cortex. GSI: global severity index



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 890 **Figure 6. MBSR-related improvements in asthma control (ACQ-6) were associated with**
 891 **increased BOLD response to emotionally-salient words in MBSR, relative to wait-list**
 892 **control, participants from baseline (T1) to 6mo followup (T3).** A. Brain shows cluster of
 893 voxels in the dACC where the change in BOLD response to emotionally-salient words (Emo >
 894 Nu) from T1 to T3 was associated with improved ACQ-6 scores in the MBSR, relative to wait-
 895 list control, group (one outlier excluded). B. Scatterplot shows this group x time interaction
 896 between average change in BOLD response and change in ACQ-6 scores from baseline to 6mo
 897 followup (T1T3). Results were unchanged with removal of extreme value. Scatterplot is for
 898 visualization only; inferential statistics based on extracted cluster values would provide inflated
 899 effect sizes (Kriegeskorte et al., 2009). ACQ-6: asthma control questionnaire 6-item version.
 900 dACC: dorsal anterior cingulate cortex. MBSR: mindfulness-based stress reduction

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923 **Figure 7. Decreased inflammation (blood and sputum EOS) was associated with decreased**
924 **BOLD response to emotionally-salient words across both groups from baseline (T1) to post-**
925 **intervention (T2) in IFOC region of interest analyses. A., C.** Brains show clusters of voxels
926 where the change in IFOC response to emotionally-salient words (Emo > Nu) from baseline to
927 post-intervention (T2) was associated with decreased blood EOS (A) or decreased sputum EOS
928 (C) at post-intervention (one outlier removed from each analysis). **B., D.** Scatterplots show
929 relationship between average change in IFOC activation and change in blood EOS (B) or sputum
930 EOS (D) from baseline to post-intervention (T1T2). Results were unchanged with removal of
931 extreme values. Scatterplots are for visualization only; inferential statistics based on extracted
932 cluster values would provide inflated effect sizes (Kriegeskorte et al., 2009). IFOC: insula-
933 frontal-opercular cortex. EOS: eosinophils